



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/010,950

11/13/2001

Li-Qun Xia

AMAT/6392/DD/LOW K/JW

CONFIRMATION NO. 5694

PATENT COUNSEL APPLIED MATERIALS, INC. DECTO JAN 28 Legal Affairs Department

P.Ö. BOX 450A Santa Clara, CA 95052

FORMALITIES LETTER *OC000000007330887*

Date Mailed: 01/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/26/2002 BABRAHA1 00000094 501074

740.00 CH

02 FC:105 03 FC:103

01 FC:101

130.00 CH 30.00 CH FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.
 - \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 960.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

HH

MAR 2 2. 2002

OPICATED STATES PATENT AMILITADEMARK OF

COPY OF PAPERS ORIGINALLY FILED

HTH TO

Assignee:

Applied Materials, Inc.

Title:

A METHOD OF DEPOSITING LOW K BARRIER FILMS

Serial No .:

10/010,950

IA et al.

Filed:

11/13/2001

Group Art Unit: 2812

Atty. Docket:

6392/DD/LOWK/JW

Examiner: Unknown

Santa Clara, Calif. March 12, 2002

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Attention: Manager, Application Division

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on 01/18/2002, the following documents are enclosed to complete the filing of the above-identified patent application:

- 1. 3 SEPARATE Combined Declaration and Power of Attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (12 pages)
- Copy of "Notice to File Missing Parts of Application Filing Date Granted" (Form PTO-1533)

The United States Patent and Trademark Office is hereby authorized to charge the following fees to <u>Deposit Account No. 50-1074</u> (a duplicate of this document is enclosed):

[X] Filing Fee - Original Patent Application [37 CFR 1.16(a)]

\$740.00

[X] Fees for Claims:

[] each independent claim in excess of 3 [37 CFR 1.16(f)]

[X] each claim in excess of 20 [37 CFR 1.16(c)]

[] each multiple dependent claim(s) [37 CFR 1.16(d)]

\$90.00



[X] Late filing of original declaration or oath 37 CFR 1.16(e).

\$130.00

Total fees:

\$960.00

[X] The Commissioner is hereby authorized to charge \$960.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of 11/13/2001. Please telephone the undersigned at (408)-563-2726 if there are any questions regarding this matter.

APPLIED MATERIALS, INC. Legal Affairs Department 3050 Bowers Ave., MS/2634 Santa Clara, CA. 95054

Beth Mulcahy

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 2023 1, on March 12, 2002.

Respectfully supp

Robert W. Mulcahy Attorney for Applicant Registration No. 25,436